Experimental Observation of the Anderson Metal-Insulator Transition with Atomic Matter Waves

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We realize experimentally an atom-optics quantum-chaotic system, the quasiperiodic kicked rotor, which is equivalent to a 3D disordered system that allows us to demonstrate the Anderson metal-insulator transition. Sensitive measurements of the atomic wave function and the use of finite-size scaling techniques make it possible to extract both the critical parameters and the critical exponent of the transition, the latter being in good agreement with the value obtained in numerical simulations of the 3D Anderson model.

The metal-insulator Anderson transition plays a central role in the study of quantum disordered systems. An insulator is associated with localized states, while a metal generally displays diffusive transport associated with delocalized states. The Anderson model [1] describes such a transition, due to quantum interference effects driven by the amount of disorder in the system. Starting from the “tight-binding” description of an electron in a lattice, Anderson postulated in 1958 that the dominant effect of impurities in a crystal is to randomize the diagonal term of the Hamiltonian, and showed that this generally leads to a localization of the wave function, in sharp contrast with the Bloch-wave solution for a perfect crystal. This model has been extended from its original solid-state physics scope [1–4] to a whole class of systems in which waves propagate in a disordered medium such as quantum-chaotic systems [5,6] and electromagnetic radiation [7–9]. The model predicts a wealth of interesting phenomena. In one dimension, the wave function is always localized as recently observed in experiments using atomic matter waves in a disordered optical potential [10,11]; in three dimensions it predicts a phase transition between a localized (insulator) and a delocalized (metal) phase at a well-defined mobility edge, the density of impurities or the energy being the control parameter.

Despite the wide interest on the Anderson transition, few experimental results are available. In a crystal, it is very difficult to obtain the conditions for a clean observation of the Anderson localization. First, one has no direct access to the electronic wave function and must rely on modifications of bulk properties like conductivity [2,12]. Second, it is difficult to reduce decoherence to a low enough level. We engineered a matter-wave system described by an Anderson-like model. This allows us to probe the physics of disordered systems in much better conditions than in condensed matter physics [13]: namely, almost no interaction between particles, weak absorption in the medium, no coupling with a thermal reservoir which could destroy localization and possibility of measuring the final quantum state of the system after a given interaction time. The system, the quasiperiodic kicked rotor [5,6,14,15] consists of cold cesium atoms exposed to a pulsed laser standing wave. The dynamics is effectively one dimensional along the axis of the laser beam, as transverse directions are uncoupled. The atoms interact periodically with a sinusoidal potential whose amplitude is modulated at frequencies \(\omega_2\) and \(\omega_3\). The corresponding Hamiltonian is

\[
H = \frac{\mathbf{p}^2}{2} + K \cos[1 + \varepsilon \cos(\omega_2 t) \cos(\omega_3 t)] \sum_{n=0}^{N-1} \delta(t - n),
\]

where \(x\) is the particle position, \(p\) its momentum, and \(K\) the pulse intensity. We have chosen normalized variables such that \(x\) is measured in units of the spatial period of the potential divided by \(2\pi\), the particle’s mass is unity and time is measured in units of pulse period \(T_1\).

By taking \(\varepsilon = 0\) in Eq. (1) one obtains the standard (strictly time-periodic) kicked rotor, a system known to display the phenomenon of dynamical localization [5]: when the classical dynamics is a chaotic diffusion [16], the quantum dynamics is frozen after some localization time by quantum interference. Then, the wave function is exponentially localized in momentum space. Dynamical localization has been shown to be a direct analogue of Anderson localization in one dimension [6], with the following correspondences: dynamical localization takes place in momentum space, not in real space; the “stochasticity parameter” \(K\) [see Eq. (1)] corresponds to the ratio of hopping to diagonal energy in the Anderson model (the larger \(K\), the smaller the disorder strength). Random disorder in the Anderson model corresponds to a pseudorandom potential in the kicked rotor. The experimental observation of dynamical localization in the kicked rotor [14] is actually the first observation of Anderson 1D localization with atomic matter waves.
In order to observe the Anderson transition, one must generalize the kicked rotor to obtain a system analogous to the 3D Anderson model. This is done by making the Hamiltonian depend on time in a quasiperiodic way with three incommensurate frequencies, i.e., by taking $\epsilon \neq 0$ in Eq. (1) with $\omega_2$ and $\omega_3$ incommensurate numbers. The resulting system has been shown to be substantially equivalent to the 3D Anderson model [15], the additional spatial coordinates in the Anderson model corresponding to the additional time dependencies in the quasiperiodic kicked rotor. The parameters controlling the dynamics are the kick strength $K$ and the modulation amplitude $\epsilon$. In the $(K, \epsilon)$ plane, the $\epsilon = 0$ line corresponds to localized dynamics (case of the periodic kicked rotor) and the Anderson transition takes place along a critical line in the plane $\epsilon > 0$, as shown in Fig. 1.

Our atom-optics realization of the kicked rotor has been described in detail elsewhere [17–19]. We cool cesium atoms in a standard magneto-optical trap, and, after a Sisyphus-molasses phase, we obtain a cloud of $10^7$ atoms at a temperature of 3.2 $\mu$K. This prepares a sample of atoms in a thermal state whose momentum distribution is much narrower than the expected localization length. The atoms then interact with the optical potential generated by a horizontal standing wave. An acousto-optical modulator driven by an arbitrary-form synthesizer modulates the amplitude of the optical potential. One thus generates 0.9 $\mu$s-long pulses at $T_1 = 27.778 \mu$s (corresponding to an effective Planck constant $\hbar = 2.89$), to which is superimposed a modulation of the form Eq. (1), with $\omega_2/2\pi = \sqrt{5}$ and $\omega_3/2\pi = \sqrt{13}$. The standing wave, of typical power 160 mW focused on a waist of 1.5 mm, is far off-resonant (7.3 GHz to red, or $1.4 \times 10^3$ natural widths), in order to reduce spontaneous emission. Great care has also been taken in insuring the horizontality of the standing wave to better than 0.1°, in order to prevent gravity mixing of quasimomentum classes on the time scale of the experiment. Atomic momentum distribution measurements are performed with Raman transitions [18]. The Raman beams are horizontal, making an 11° angle with the standing wave.

The experimental values of the parameters are carefully chosen. First, in order to prevent classical (KAM barrier) localization effects one must have $K > 2$ [16]. We numerically checked that the classical dynamics is fully diffusive in our case. This excludes a classical origin for the observed transition. Second, in order to confine the transition to a narrow range of parameters one must cross the critical curve (Fig. 1) “at a right angle”; so we vary simultaneously $K$ and $\epsilon$ along a line going from $K = 4$, $\epsilon = 0.1$ in the localized region to $K = 9$, $\epsilon = 0.8$ in the diffusive region; the critical line is then crossed at $K = K_c = 6.6$. Third, short enough pulses must be used, so that they can be considered as delta pulses. Numerical simulations with a finite pulse duration (0.9 $\mu$s) show that less than 1% of the atoms are sensitive to the duration of the pulses. Finally, decoherence processes must be kept as small as possible. We have identified two dominant decoherence sources in our experiment: (i) Spontaneous emission, which is not included in the Hamiltonian Eq. (1) and (ii) the deviation of the standing wave from strict horizontality, which mixes quasimomentum classes and produces a stray momentum diffusion. The fact that numerical simulations of the “pure” quasiperiodic kicked rotor shown hereafter agree very well with the experimental results (in particular for the position of the Anderson transition and for the critical exponent) proves that spurious effects are well under control. We have also checked that inclusion of these effects in the numerical calculations only leads to small changes for $t \approx 150$ kicks.

In order to observe the Anderson transition we apply a sequence of kicks to the atomic cloud and measure its dynamics. In the localized regime, the evolution of its momentum distribution is “frozen” after the localization time (≈12 kicks) into an exponential curve $\exp(-|p|/p_{loc})$ (where $p_{loc}$ is the localization length). In the diffusive regime, the initial Gaussian shape is preserved and the distribution gets broader as kicks are applied, corresponding to a linear increase of the average kinetic energy. The insets in Fig. 1 show the experimentally observed momentum distributions, an exponentially localized distribution for $K < K_c$ (blue curve), characteristic of dynamical localization, and a broad, Gaussian-shaped distribution for $K > K_c$ (red curve), characteristic of the diffusive regime.

**FIG. 1** (color). Phase diagram of the quasiperiodic kicked rotor, from numerical simulations. The localized (insulator) region is shown in blue, the diffusive (metallic) region is shown in red. The experimental parameters are swept along the diagonal dash-dotted line. The insets show the experimentally observed momentum distributions, localized in the insulator region and Gaussian in the diffusive (metallic) region.
Instead of measuring the full momentum distribution, it is sufficient, and much easier, to measure the population $\Pi_0(t)$ of the zero velocity class [20], as $\Pi_0^{-1}(t)$ is proportional to $\langle p^2 \rangle(t)$ (as the total number of atoms is constant). We performed several experimental runs corresponding to points in the $(K, \epsilon)$ plane. In each run the value of $\Pi_0(t)$ was recorded as the kicks were applied. We also recorded the background signal obtained by not applying the Raman detection sequence, and the total number of atoms in the cold-atom cloud. These signals are used to correct the experimental data from background signals and long-term drifts of the cloud population. Figure 2 shows the experimentally observed $\Pi_0^{-1}(t)$ and clearly shows the transition from the localized to the diffusive regime, with an intermediate regime of anomalous diffusion.

When one approaches the critical point from the insulator side, the localization length diverges, whereas, on the metallic side, the diffusion constant vanishes. However, a strict divergence can be observed only in macroscopically large samples; in small samples the divergence is smoothed. This fact plagued the numerical studies of the solid-state Anderson transition, as only a finite (small) lattice can be dealt with in a computer. In our system, a single curve (within the experimental error). The remarkable feature is the existence of two branches: the upper one corresponds to diffusive motion while the lower one corresponds to the localized regime, the critical point being at the tip joining the two branches. The scaling parameter $\xi(K)$ is plotted in Figs. 3(a) and 3(b): It represents the localization length in the localized regime and scales as the inverse of the diffusion constant in the diffusive regime. Clearly, it increases rapidly in the vicinity of the critical value $K_c$, on both sides of the transition, and it is found to behave as $\xi \sim |K - K_c|^{-\nu}$ when $K \to K_c$. This divergence at the critical point is a key property of the Anderson phase

![Figure 2](image_url)

**FIG. 2** (color online). Temporal dynamics of the quasiperiodic kicked rotor. We measure the population $\Pi_0(t)$ of the zero-momentum class as a function of time and plot the quantity $\Pi_0^{-1}(t)$ (proportional to $\langle p^2 \rangle(t)$). Clearly, it tends to saturate in the localized regime (blue curve) and increases linearly with time in the diffusive regime (red curve). Close to the critical point (purple curve), it displays anomalous diffusion $\Pi_0^{-1}(t) \sim t^{2/3}$, as expected from theoretical arguments [24]; the critical behavior is well fitted by $\Pi_0^{-1}(t) = A + Bt^{2/3}$ (dashed line).

![Figure 3](image_url)

**FIG. 3** (color online). Finite-size scaling applied to the results of numerical simulations (top) and to the experimental results (bottom). Graphs (a) and (c) emphasize that all data points corresponding to the quantity $\Lambda(K, t) = \Pi_0^{-1}(K, t)t^{-2/3}$ obtained for various values of $K$ and $t$ can actually be described by a scaling function $f(X)$ depending only on the variable $X = \xi(K)t^{-1/3}$. The finite-size technique makes it possible to determine both $f(X)$, shown in (a) and (c), and the scaling parameter $\xi(K)$, shown in (b) and (d). Close to $K_c$, the behavior of $\xi(K)$ is well fitted by Eq. (2) (dashed lines), giving $K_c = 6.6 \pm 0.1$ (simulation) and $K_c = 6.4 \pm 0.2$ (experiment). The critical exponent is $\nu = 1.60 \pm 0.05$ (simulation) and $\nu = 1.4 \pm 0.3$ (experiment).
transition. However, phase-breaking mechanisms induced by decoherence processes smooth the algebraic divergence. We model such effects by introducing a small cutoff of the divergence due to the residual decoherence:

$$\frac{1}{\xi(K)} = \alpha |K - K_c|^\nu + \beta.$$  \hspace{1cm} (2)

The experimental observations and the numerical data have been fitted with this formula (dashed curves in Figs. 3(b) and 3(d)). We found $K_c = 6.4 \pm 0.2$ (very close to the value $K_c = 6.6 \pm 0.1$ obtained from the numerical simulation), and a critical exponent $\nu = 1.4 \pm 0.3$ [28], which is consistent with the numerical value within the error bars. The good agreement between the numerical simulations and the experimental results proves that spurious effects (such as decoherence) are under control. We emphasize that there are no adjustable parameters in our procedure, all parameters are determined using the atoms themselves as probes. Once the existence of the scaling law established, it is more convenient to use a global analysis of the numerical data at various values of $K$ (see Refs. [12,22,26]). This, together with the fact that numerical simulations can be run up to several thousands kicks, make it possible to obtain a more precise numerical value for the critical exponent $\nu = 1.60 \pm 0.05$. Note, finally, that although the data displayed here concern a particular set of parameters, we verified experimentally the presence of the transition for other parameters.

In conclusion, we have presented the first experimental evidence of the Anderson transition with atomic matter waves. The transition is characterized by a well defined critical point, a divergence of the localization length below the critical point (in the localized regime) and a vanishing of the diffusion constant above the critical point (in the diffusive regime). We have determined the scaling laws and the critical exponent $\nu$ of the Anderson transition, which is significantly larger than unity and very close to the value $\nu \approx 1.58$ obtained in recent numerical experiments [12,22] on the Anderson model, enforcing the assumption [15] that the two systems are substantially equivalent. Whether this exponent is universal (i.e., independent of the microscopic details) or not remains to be studied. A very interesting point is that our Anderson-equivalent quasiperiodic kicked rotor can be easily generalized to higher dimensions simply by adding new incommensurable frequency, which opens perspectives for fascinating studies of the dependence of the critical exponent on the dimension of the underlying Anderson model.

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